### Transformation of phase space in a circular accelerator

In a circular accelerator the coefficients k(s) of Hill's equation must be periodic:

$$r'' + k(s) \cdot r = 0$$
  $k(s + C) = k(s)$ 

C is the circumference of the accelerator. A solution of this equation is derived by the Floquettheorem with

$$r = \varphi(s) \implies \varphi(s+C) = e^{i\Delta\psi}\varphi(s)$$

 $\Delta \psi$  is the phase advance after one period. One solution was derived:

$$x(s) = \sqrt{\varepsilon\beta(s)} \cdot \cos(\Psi(s) + \Psi_0)$$

and for the transformation matrix

$$\underline{A} = \begin{pmatrix} \sqrt{\frac{\beta_f}{\beta_i}} (\cos \Delta \Psi + \alpha_i \sin \Delta \Psi) & \sqrt{\beta_f \cdot \beta_i} \sin \Delta \Psi \\ \frac{(\alpha_i - \alpha_f) \cos \Delta \Psi - (1 + \alpha_i \alpha_f) \sin \Delta \Psi}{\sqrt{\beta_f \cdot \beta_i}} & \sqrt{\frac{\beta_i}{\beta_f}} (\cos \Delta \Psi - \alpha \sin \Delta \Psi) \end{pmatrix}$$

Due to the periodicity for the Twiss parameter we get  $\beta(s+C) = \beta(s)$  and  $\alpha(s+C) = \alpha(s)$ . For the eigen-solution of the transfer matrix of a circular accelerator we get:

$$\underline{R}_{s \to s+C} = \underline{M} = \begin{pmatrix} \cos \Delta \Psi + \alpha(s) \sin \Delta \Psi & \beta(s) \sin \Delta \Psi \\ -\frac{(1+\alpha^2(s)) \sin \Delta \Psi}{\beta(s)} & \cos \Delta \Psi - \alpha(s) \sin \Delta \Psi \end{pmatrix}$$
$$= \begin{pmatrix} \cos \Delta \Psi + \alpha(s) \sin \Delta \Psi & \beta(s) \sin \Delta \Psi \\ -\gamma(s) \sin \Delta \Psi & \cos \Delta \Psi - \alpha(s) \sin \Delta \Psi \end{pmatrix}$$

This matrix does the transformation of x and x' for one revolution in the ring.

$$\begin{pmatrix} x(s+C) \\ x'(s+C) \end{pmatrix} = \begin{pmatrix} c(s,s+C) & s(s,s+C) \\ c'(s,s+C) & s'(s,s+C) \end{pmatrix} \begin{pmatrix} x(s) \\ x'(s) \end{pmatrix} = \underline{R}(s,s+C) \vec{x}$$

## **Dispersion and resonances**

$$\underline{M}(s) = \begin{pmatrix} \cos \Delta \Psi + \alpha(s) \sin \Delta \Psi & \beta(s) \sin \Delta \Psi \\ \frac{(1 + \alpha^2(s)) \sin \Delta \Psi}{\beta(s)} & \cos \Delta \Psi - \alpha(s) \sin \Delta \Psi \end{pmatrix}$$
$$= \begin{pmatrix} \cos \mu + \alpha(s) \sin \mu & \beta(s) \sin \mu \\ -\gamma(s) \sin \mu & \cos \mu - \alpha(s) \sin \mu \end{pmatrix} = \cos \mu \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \sin \mu \begin{pmatrix} \alpha(s) & \beta(s) \\ -\gamma(s) & -\alpha(s) \end{pmatrix}$$
$$= \cos \mu \underline{I} + \sin \mu \underline{J}$$

Twiss matrix: M

$$\det \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} = -\alpha^2 + \beta \cdot \gamma = 1 \qquad , \ \underline{J} \cdot \underline{J} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -\underline{I} \quad , \ \underline{J}^{-1} = -\underline{J}$$

For n revolutions:  $\underline{M}^{N} = (\cos \mu \underline{I} + \sin \mu \underline{J})^{N} = \cos N\mu \underline{I} + \sin N\mu \underline{J}$ 

The beam matrix  $\underline{S} = \varepsilon \begin{pmatrix} \beta & -\alpha \\ \alpha & \gamma \end{pmatrix}$  is transformed via M to  $\underline{S} = \underline{M} \cdot \underline{S} \cdot \underline{M}^{T}$  as required by the periodicity.

#### **Dispersion**

As the magnetic forces of the magnets in an accelerator depend on the momentum of the particle, the bending and focusing of the charged particles depends on the particle momentum.



# **Dispersion and resonances**

Particles entering with a different energy (i.e. different  $B\rho$ ) are bend with a different radius. Therefore a bending magnet does translate a momentum or energy difference to the perfect particle into a spacial offset of the particle after the bend. This couples the longitudinal with the transverse phase space. Therefore we extend the matrix formalism to 6 dimensions:

$$\begin{pmatrix} x \\ x' \\ y \\ y' \\ \lambda \\ \delta \end{pmatrix} = \begin{pmatrix} R_{11} & R_{12} & \cdots & R_{16} \\ R_{21} & R_{22} & \cdots & R_{26} \\ \vdots & \vdots & \ddots & \vdots \\ R_{61} & R_{62} & \cdots & R_{66} \end{pmatrix} \begin{pmatrix} x_0 \\ x'_0 \\ y_0 \\ y'_0 \\ \lambda_0 \\ \delta_0 \end{pmatrix} = \begin{pmatrix} x_0 \\ x'_0 \\ y' \\ y \\ \lambda \\ \lambda_0 \\ \delta_0 \end{pmatrix}$$

x = offset in x-direction [m]
x' = slope in x-direction [rad]
y = offset in y-direction [m]
y' = slope in y-direction [rad]
λ = longitudinal offset from synchronous particle [m]

 $\delta = \Delta p/p_0$  = relative momentum difference

It is usually convenient to look at the matrix using 2x2 block matrices

$$R = \begin{pmatrix} R_{xx} & R_{xy} & R_{xz} \\ R_{yx} & R_{yy} & R_{yz} \\ R_{zx} & R_{zy} & R_{zz} \end{pmatrix} \text{ and in the absence of coupling } R = \begin{pmatrix} R_{xx} & 0 & 0 \\ 0 & R_{yy} & 0 \\ 0 & 0 & R_{zz} \end{pmatrix}$$

Example: The drift in the 6-dimensional transfer matrix

$$\frac{\Delta v}{v_0} = \frac{1}{\gamma^2} \frac{\Delta p}{p_0} = \frac{1}{\gamma^2} \cdot \delta_0 \quad = > \lambda(s) - \lambda_0 = L \frac{\Delta v}{v_0} = \frac{L}{\gamma^2} \cdot \delta_0 \quad \Rightarrow \lambda(s) = \lambda_0 + \frac{L}{\gamma^2} \cdot \delta_0$$

$$x = x_0 + L x_0'$$

$$x'_0 = x_0'$$

$$y = y_0 + L y_0'$$

$$y' = y_0'$$

$$\lambda = \lambda_0 + (L/\gamma^2) \delta_0$$

$$\delta = \delta_0$$

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$$R_{Drift} = \begin{pmatrix} 1 & L & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & L & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & L/\gamma^2 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

More general for a bending magnet for instance:



The dispersion D(s) couples the momentum delta to a transverse offset:  $x_D = D_x(s) \cdot \delta_0$ 

In case of a bending magnet we talk about dispersion, in case of a magnetic lens about **chromatic aberration**. We get the according expressions for the dispersion by the equation of motion.

The equation of motion that describes the dispersive case (transverse beam dynamics reminder):

$$x''(s) + \left(\frac{1}{\rho^{2}(s)} + k(s)\right)x(s) = \frac{1}{\rho(s)}\frac{\Delta p}{p_{0}}$$
$$y''(s) - k(s) \ y(s) = 0$$

Both equations can be transformed in Hill's-equations:

$$k_x(s) = k(s) + \frac{1}{\rho^2(s)}$$
;  $k_y(s) = -k(s)$ 

Now we take the momentum spread into account:

$$x'' + k_x(s) \cdot x = h(s) \cdot \delta$$
 with  $h(s) = \frac{1}{\rho_0(s)}$ ;  $\delta = \frac{\Delta p}{p_0}$ 

In order to solve the equation, one needs to find the modified orbit  $x_D(s) = D(s) \cdot \delta$  caused by the momentum difference. This is a periodic function and is called dispersion. The solution is  $x_{\delta}(s) = x_D(s) + x(s)$  where x(s) is the betatron oscillation and the solution of the homogenous Hill's equation.

$$D''(s) + k_x(s)D(s) = h(s)$$

For the dispersion function we have D(s) = D(s+C) and D'(s) = D'(s+C). Boundary conditions are  $s_0=0$ ,  $D(s_0)=D_0$  and  $D'(s_0)=D'_0$ .

$$D(s) = D_0 C(s) + D'_0 S(s) \qquad \begin{array}{c} C(s_0) = 1 & ; & S(s_0) = 0 \\ C'(s_0) = 0 & ; & S'(s_0) = 1 \end{array}$$

With the cosine and sine like functions C(s) and S(s). As a solution for D(s) we get (see Hinterberger)

$$D(s) = \frac{\sqrt{\beta(s)}}{2\sin\frac{\mu}{2}} \cdot \int_{s}^{s+C} h(\overline{s})\sqrt{\beta(\overline{s})}\cos(\Delta\Psi - \frac{\mu}{2}) d\overline{s}$$

Here  $\Delta \Psi$  is the phase advance dependent on s and  $\mu$  the phase advance per revolution period.

D(s) is increasing with  $\sin \frac{\mu}{2} \to 0$ . In case of  $\sin \frac{\mu}{2} = 0 \implies \mu = 2\pi \cdot N$  diverges.

Now  $\boldsymbol{\mu}$  determines the number of betatron oscillations per revolution period

$$Q = \frac{\mu}{2\pi}$$
 which is the so called **"betatron tune"**.

Now we remember that

$$\Psi(s) = \int \frac{ds}{\beta(s)} \quad \Longrightarrow \quad Q = \frac{\mu}{2\pi} = \frac{1}{2\pi} \int_{0}^{C} \frac{ds}{\beta(s)}$$

Q<sub>x</sub>, and Q<sub>y</sub> are the **Q-values or working points** of the circular accelerator.



## Momentum compaction and Resonances

In a circular accelerator we have  $\omega = \omega(p)$ , da =  $2\pi^* v/C$ . Damit erhält man

$$\frac{\Delta\omega}{\omega_0} = \frac{\Delta v}{v_0} - \frac{\Delta C}{C_0} \quad \text{with} \qquad \qquad \frac{\Delta v}{v_0} = \frac{1}{\gamma^2} \frac{\Delta p}{p_0} \qquad \frac{\Delta C}{C_0} = \alpha_p \frac{\Delta p}{p_0}$$

 $\alpha_p$  is called the Momentum Compaction Factor. This parameter is the connection between the relative difference of the orbit length per revolution and the relative momentum difference of a particle.

$$\frac{\Delta\omega}{\omega_0} = \left(\frac{1}{\gamma^2} - \alpha_p\right) \frac{\Delta p}{p_0} = \eta \frac{\Delta p}{p_0}$$

As a good approximation  $x_D(s) = D(s) \cdot \delta$  does only contribute in the bending magnets to  $\Delta C/C_0$ . Therefore the length difference of different orbits is (with  $d\alpha = ds/\rho_0 = h(s)ds$ )

$$\Delta C = \int_{s}^{s+C_{0}} (\rho_{0} + x_{D}) d\alpha - \int_{s}^{s+C_{0}} \rho_{0} d\alpha = \int_{s}^{s+C_{0}} h \cdot x_{D} d\overline{s} = \frac{\Delta p}{p_{0}} \int_{s}^{s+C_{0}} D(\overline{s}) h(\overline{s}) d\overline{s}$$
$$\Rightarrow \quad \alpha_{p} = \frac{1}{C_{0}} \int_{s}^{s+C_{0}} D(\overline{s}) h(\overline{s}) d\overline{s}$$

We can vary D(s) via the ion optics and therewith  $\Delta p$  as well.  $\alpha_p$  determines the dispersion in the area of the bending magnets. The smaller D(s) the closer the orbits for a given  $\Delta p/p_0$  and the smaller is  $\alpha_p$ .

$$\eta = \left(\frac{1}{\gamma_{tr}^{2}} - \alpha_{p}\right) = 0 \implies E_{tr} = \gamma_{tr} m_{0} c^{2} \implies \eta = \frac{1}{\gamma^{2}} - \frac{1}{\gamma_{tr}^{2}}$$

$$\begin{aligned} \gamma < \gamma_{tr} & \Leftrightarrow & \eta > 0 , \\ \gamma = \gamma_{tr} & \Leftrightarrow & \eta = 0 \\ \gamma > \gamma_{tr} & \Leftrightarrow & \eta < 0 \end{aligned}$$

At  $\gamma = \gamma_{tr} \iff \frac{\Delta \omega}{\omega_0} = 0$  the particles orbit isochronous in the ring, independent on the

momentum (and energy). This transition is special for heavy ion synchrotrons and storage rings. For a strong focusing synchrotron we get

$$\alpha_p \approx \frac{1}{Q_x^2}, \quad \gamma_{tr} \approx Q_x$$

An error of the dipole field  $\delta B$  at s= s<sub>0</sub>, which act over a very short length of  $\Delta s$  establishes a kick of the beam (change in the angle)  $\Delta x'$ . The "closed orbit", which is the orbit of the perfect beam particle, is distorted  $\rightarrow$  "closed orbit distortion".



Such an distortion causes betatron oscillations.

$$\Delta x' = \frac{-\delta B}{B\rho} \Delta s = F(s_0) \Delta s \Rightarrow x'' + k_x(s)x = F(s)$$

solution:

$$x(s) = \frac{\sqrt{\beta(s)}}{2\sin Q\pi} \cdot \int_{s}^{s+C_0} F(\overline{s}) \sqrt{\beta(\overline{s})} \cos(\Delta \Psi - Q\pi) d\overline{s}$$

$$=\frac{\sqrt{\beta(s)}}{2\sin Q\pi}\cdot\Delta x'\sqrt{\beta(s_0)}\cos(\Delta\Psi-Q\pi) \quad \Rightarrow \quad \frac{x(s)}{\sqrt{\beta(s)}}=\frac{\sqrt{\beta(s_0)}\cdot\Delta x'}{2\sin Q\pi}\cos(\Delta\Psi-Q\pi)$$

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Amplitude:  $a = \frac{\sqrt{\beta(s_0)} \cdot \Delta x'}{2 \sin Q \pi}$ . The amplitude is proportional to the kick strength, to the betatron function to  $1/\sin(Q\pi)$ . The amplitude diverges with Q=N and the particles get lost.  $\Rightarrow$  stop band

The particles pass the error region with the same phase and errors add to increasing amplitudes. Closed orbit distortions are compensated

with steerer magnets.

Feldfehler	optische Resonanz
Dipolfehler	Q = n
Quadrupolfehler	Q = n + 1/2
Sextupolfehler	Q = n + 1/3
Oktupolfehler	Q = n + 1/4
usw.	usw.



In a ders are sketched:

